

ABANDONMENT WORKSHEET

<input checked="" type="checkbox"/> Date of 1 st call <u>10-26-2012</u>
<input type="checkbox"/> Left Message _____
<input type="checkbox"/> No answer...call back _____
<input type="checkbox"/> Date of 2 nd Call _____

Application No. 111-1111111
Attorney or Applicant Name: _____
Telephone Number _____

- *****
- Express Abandonment. Forward to 0220 immediately.
 - Retention. Forward to 0220 immediately.
 - Applicant does not have an attorney.
 - Applicant has an attorney.
 - If there is no attorney -- Call Applicant.

 - Telephone service is disconnected. A new number was not available.
 - Telephone number has changed.
 - New telephone number is _____
 - Called the new telephone number.

 - Attorney no longer represents the applicant.
 - New Attorney has been assigned to this application.
 - Contacted New Attorney:
 - New Attorney; Name: _____
Telephone: _____

Abandon this Application (A copy of the RAM fee sheet must be enclosed)

- Sent for Abandonment _____
- Application should be abandoned as instructed by Attorney or Applicant

Name of person who requested PTO to abandon the application _____

Do Not Abandon this Application (A copy of the RAM fee sheet must be enclosed)

- Petition to revive. Forward to 0220 immediately.
- Attorney did not receive Missing Parts Notice. Attorney will fax copy of docketing information.

- Response received on _____ (See PTO mail stamp.) Response is in the application.

- Application is being forwarded to JCWS formalities review for processing.

- Check RAM. RAM inquiry indicates a response was received. Requested applicant attorney to fax response to me. Response enclosed.

- Awaiting call from Attorney

- Review of this application was completed by _____ Print your name _____



UNITED STATES PATENT AND TRADEMARK OFFICE

DATA FROM THE FIELD
OF THE INDIAN CHIEFS. THE CHIEFS ARE

1970-034

01/18/2001

Gunter Schwab

SCHWABE ET AL.

COLLARD & ROE, P.C.
1077 Northern Boulevard
Rosslyn NY 11576-1696

CONFIRMATION NO. 8133
FORMALITIES LETTER

A standard linear barcode is located at the bottom of the page, consisting of vertical black bars of varying widths on a white background.

Date Mailed: 03-01-2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below however are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



SFR, LK
11

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: SCHWAB ET AL 3

SERIAL NO: 09/764,634 GROUP: 1765

FILED: JANUARY 18, 2001

TITLE: PROCESS FOR PRODUCING A SEMICONDUCTOR WAFER

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

SUBMISSION OF DECLARATION AND SUBSTITUTE SPECIFICATION

SUBMISSION OF PRIORITY DOCUMENT

SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

OF DR. WOLFGANG STAUDACHER

and

SUBMISSION OF ASSIGNMENT FOR RECORDAL

ATTN: BOX MISSING PARTS
Ass't. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted", dated MARCH 1, 2001 attached hereto is the requested executed DECLARATION, attached to the complete patent application which is to be considered a Substitute Specification, along with a check in the amount of \$134.00 to cover the surcharge for the late filing of the Declaration for a large entity.

An Assignment, along with the required cover sheet, is also attached.

Applicants herewith claim the benefit of priority of their earlier-filed application under the International Convention in accordance with 35 U.S.C. 119. Submitted herewith is a certified copy of the German application having the Serial No. 100 02 354.1 bearing the filing date of JANUARY 20, 2000.

It is hereby requested that receipt of the priority document be acknowledged by the Patent Office.

Applicants wish to bring to the attention of the Patent Examiner the attached Information Disclosure Statement, duly signed by Dr. Wolfgang Staudacher, and the six (6) references listed on the enclosed Form PTO-1449 and attached thereto.

The relevance of these references is that they are cited in the present patent application and in the Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

It is respectfully requested that this Information Disclosure Statement be considered and placed into the application file.

Since this Information Disclosure Statement is being filed prior to a first Office Action, it is believed that no fee is due. However, if it is determined that a fee is due, the Commissioner is hereby authorized to examine, or to credit any over payment, to our

Deposit Account Number 03-2468.

Respectfully submitted,

GUNTER SCHWAB ET AL 3

By:

Allison C. Collard, Reg. No. 22,532
Edward R. Freedman, Reg. No. 26,048
Attorneys for Applicants

COLLARD & ROE, P.C.
1977 Northern Boulevard
Roslyn, New York 11576
(516) 365-9802

Encls:

1. Copy of "Notice to File Missing Parts ..."
2. Check in the amount of \$130.00
3. Executed Declaration attached to Substitute Specification
4. Assignment with required cover sheet with authorization to charge Deposit Account
5. German Priority Document 101 02 354.1
6. Information Disclosure Statement of Dr. Wolfgang Staudacher
7. PTO form 1449 with copies of 6 references
8. Office Action of the German Patent and Trademark Office

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on March 26, 2001.